

1051 U.S. PTO  
04/12/01

UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)

HONORABLE COMMISSIONER OF  
PATENTS AND TRADEMARKS  
Washington D.C. 20231

Case Docket No. 114205-1701

1002 U.S. PTO  
09/832865  
04/12/01

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(s): HUA, Yu

FOR: REGULATION OF SYSTEMIC IMMUNE RESPONSES UTILIZING SOLUBLE CD40

Enclosed are:

- ☒ [ 37 ] Pages of specification, claims, abstract
- ☐ [ ] Declaration & Power of Attorney
- ☒ [ X ] Priority Claimed from US Provisional Application No. 60/196,489 filed April 12, 2000.
- ☐ [ ] Certified copy of \_\_\_\_\_
- ☒ [ X ] 12 sheets of drawings
- ☐ [ ] An assignment of the invention to \_\_\_\_\_, and the assignment recordation fee
- ☒ [ X ] Return Receipt Postcard
- ☐ [ ] Information Disclosure Statement, Form PTO-1449
- ☐ [ ] Copies of IDS References
- ☒ [ X ] Other: Applicant asserts that they are entitled to small entity status.

The filing fee has been calculated as shown below:


(1) FOR	(2) NO. FILED	(3) NO. EXTRA	(4) RATE	(5) AMOUNT	
TOTAL CLAIMS	15	-20	0	\$18.00 =	\$ 0.00
INDEPENDENT CLAIMS	6	-3	3	x \$80.00 =	\$240.00
MULTIPLE DEPENDENT CLAIM(S) (If applicable)				+ \$270.00 =	0.00
			BASIC FEE	\$710.00	\$710.00
			Total of above calculations	=	\$ 475.00
			[ ] Assignment & Recording Fee		\$0.00
			TOTAL FEE (1/2 small ent.)		\$ 475.00

The PTO did not receive the following  
listed item(s) Specification only  
2.5 Pages

- ☒ Please charge my Deposit Account No. 50-0436 in the amount of \$475.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0436. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0436. A duplicate copy of this sheet is enclosed.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

PEPPER, HAMILTON LLP

 Reg. No. 42,198  
for

Gilberto M. Villacorta  
Registration No. 34,038

**Date: April 12, 2001**  
600 Fourteenth Street, N.W.  
Washington, D.C. 20005-2004  
Telephone: (202) 220-1200  
Facsimile: (202) 220-1665  
DC: #181144 v1 (3VRS01!.DOC) 112802-804